# Open-vocabulary Keyword-spotting with Adaptive Instance Normalization

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# **Background**

#### **Keyword spotting (KWS):**

- **Goal:** Identify specific keywords within an audio utterance.
- Keywords are predefined.



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#### Open-vocabulary KWS:

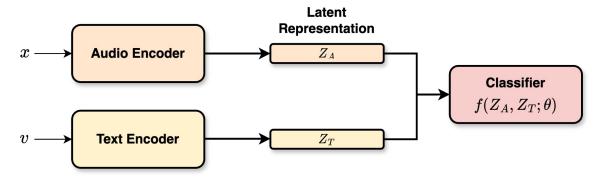
- Goal: Detect arbitrary keywords at inference.
- Motivation:
  - Personalization, user-defined keywords.
  - No need for additional training to incorporate novel keywords.
  - Low resource languages, specialized domains and jargon.



# **Overview**

- Embed textual and auditory input into a joint space.
- Detect keywords based on audio-text similarity.

#### **Previous Works - General Approach**



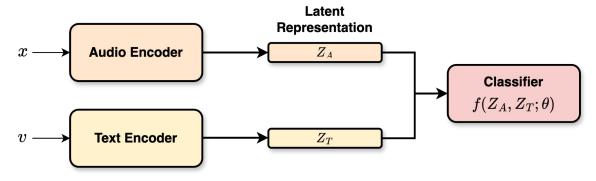


# **Overview**

#### **Key Limitation**

Using two encoders for representing heterogeneous modalities in a joint space may cause significant misalignment between audio and text embeddings.

#### **Previous Works - General Approach**





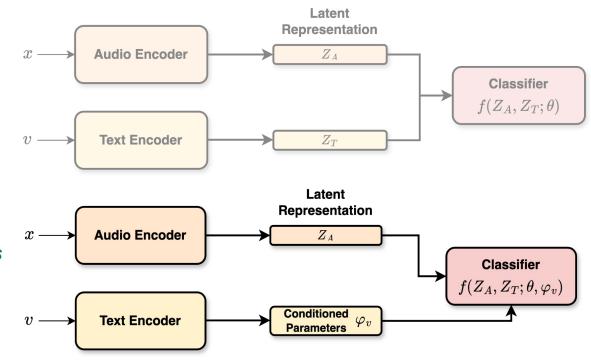
## **Overview**

#### **Key Limitation**

Using two encoders for representing heterogeneous modalities in a joint space may cause significant misalignment between audio and text embeddings.

We address this limitation by adaptively condition parameters on query keywords.

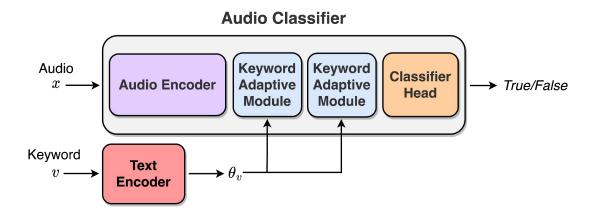
#### **Previous Works - General Approach**





# The AdaKWS Model

The audio is processed using the classifier which is conditioned on the keyword through the keyword-adaptive modules.

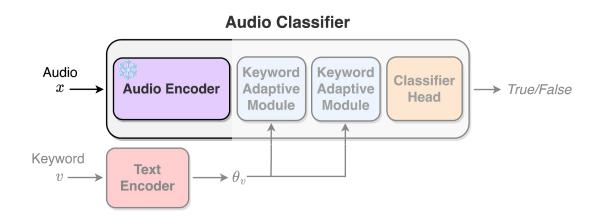




# The AdaKWS Model

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The **Audio Encoder** is a pre-trained Whisper encoder.



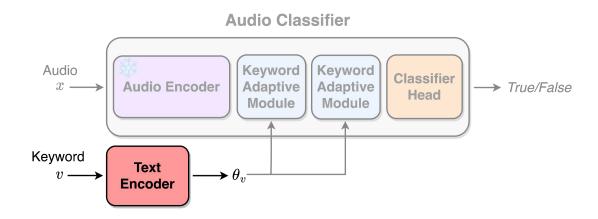
Robust Speech Recognition via Large-Scale Weak Supervision, Radford et al., 2022.



## The AdaKWS Model

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The **Text Encoder** outputs a set of keyword-conditioned normalization parameters.



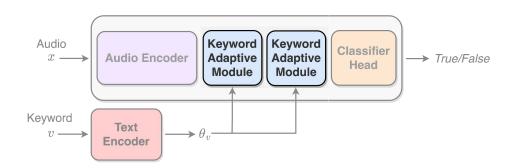


# **Keyword Adaptive Module**

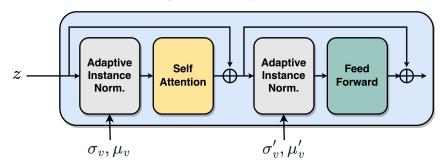
Each module is a standard transformer encoder block in which we swap the Layer Normalization layers with **Adaptive**Instance Normalization layers (AdalN).
An **Adaln** is a normalization layer of the form:

$$ext{AdaIN}(z,v) = \sigma_v \left( rac{z - \mu_z}{\sigma_z} 
ight) + \mu_v.$$

z is the audio representation andv is the target keyword



#### **Keyword Adaptive Module**





# **Online Keyword Sampling**

Training examples are pairs of speech utterances and keywords.



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#### **Positive Examples**

Random keyword phrase from the speech transcription.



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Consider the utterance:

"Open vocabulary keyword spotting with adaptive instance normalization"

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#### **Positive Examples**

Random keyword phrase from the speech transcription:

keyword



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#### **Positive Examples**

Random keyword phrase from the speech transcription:

instance normalization



"Open vocabulary keyword spotting with adaptive instance normalization"

#### **Random Negative**

Random keyword phrase from different speech utterances in the batch:

animals, drink, neighborhood



"Open vocabulary keyword spotting with adaptive instance normalization"

#### **Nearest Keyword**

Represent each keyword with last layer's embedding representation.

Querying for the keyword with the smallest cosine distance within training batch:

instance → distance, keyword → backward



"Open vocabulary keyword spotting with adaptive instance normalization"

#### **Keyword Concatenation**

Concatenating a positive keyword with a random negative keyword:

spotting → spotting animals, animals spotting



"Open vocabulary keyword spotting with adaptive instance normalization"

#### **Character Swapping**

Alter a positive keyword by substituting one or more characters.

Character can be chosen randomly, or according to an a priori mapping of acoustically similar characters ("s" $\rightarrow$ "z","p" $\rightarrow$ "b", etc.).

spotting → zbotting, adaptive → adantive



# **Experimental Setup**

- Datasets:
  - VoxPopuli dataset multilingual corpus with transcribed utterances from 16 languages.
  - Keyword spotting benchmark: LibriPhrase easy (LE) and hard (LH).
  - o Zero-shot evaluation: Fleurs and multilingual-LibriSpeech.
- Several model sizes: AdaKWS-Tiny, AdaKWS-Base, AdaKWS-Small.
- Diverse and challenging evaluation sets, with random, concat and swap negatives.
- ASR-based and KWS baselines.



# Multilingual KWS - Voxpopuli

F1 results for the VoxPopuli test dataset:

	CS	DE	EN	ES	ET	FI	FR	HR	HU
Whisper-Tiny	48.5	77.0	83.4	85.6	39.5	58.4	78.3	48.6	47.0
Whisper-Small	77.9	89.3	84.1	86.3	55.2	81.5	90.5	72.5	72.5
Whisper-Large-V2	91.0	93.2	80.5	87.8	78.5	89.1	93.2	67.9	87.4
AdaKWS-Tiny	91.8	94.4	95.5	95.1	86.2	92.1	94.9	89.3	90.6
AdaKWS-Base	92.3	95.2	95.9	95.1	85.2	92.2	96.3	90.4	91.3
AdaKWS-Small	94.4	95.7	96.3	95.6	91.1	94.8	95.9	93.0	92.7

	IT	LT	NL	PL	RO	SK	SL	Overall	# Params
Whisper-Tiny	72.2	38.4	67.8	74.7	52.0	43.8	46.0	69.3	39M
Whisper-Small	85.4	62.5	85.8	89.7	77.3	68.8	64.3	83.3	244M
Whisper-Large-V2	87.7	76.1	92.6	93.8	87.7	84.0	80.0	88.4	1550M
AdaKWS-Tiny	90.8	85.6	91.1	93.0	91.9	91.7	85.6	92.8	15M
AdaKWS-Base	92.0	82.1	91.5	94.3	92.9	93.9	88.5	93.7	31M
AdaKWS-Small	92.8	78.5	92.2	95.5	94.8	94.5	89.9	94.6	109M



# **Effect of Negative Sampling Methods**

Results for the AdaKWS-tiny model, trained using different negative sampling approaches, on the VoxPopuli test dataset:

	F1 ↑	AUC ↑	EER ↓
Random Random + NK	$79.37 \\ 81.24$	88.09 88.79	$19.37 \\ 18.80$
Random $+$ NK $+$ Cat.	84.99	91.20	15.91
Random + $NK + Cat. + Swap$	92.87	97.78	<b>7</b> .16



# **KWS Benchmark - LibriPhrase**

Results for the LibriPhrase easy (LE) and LibriPhrase hard (LH) test dataset:

	AUC	(%)↑	EER	(%)↓
	LH	LE	LH	LE
Triplet	54.88	63.53	44.36	32.75
Attention	62.65	78.74	41.95	28.74
DONUT	54.88	63.53	44.36	32.75
CMCD	73.58	96.70	32.90	8.42
<b>EMKWS</b>	84.21	97.83	23.36	7.36
CED	92.70	$\boldsymbol{99.84}$	14.40	1.70
AdaKWS-Tiny	93.75	99.80	13.47	1.61
AdaKWS-Base	94.39	99.81	12.60	1.37
AdaKWS-Small	95.09	99.82	11.48	$\bf 1.21$



# Zero-shot Performance - Multilingual LibriSpeech

F1 results for the Multilingual LibriSpeech test dataset:

	DE	EN	ES	FR	IT	NL	PL	Overall	# Params	Inference Time (MS) ↓
Whisper-Tiny	77.6	85.8	83.6	73.4	72.4	69.2	76.0	77.9	39 <b>M</b>	260
Whisper-Small	90.8	91.3	92.9	88.2	85.8	86.1	91.5	89.6	244M	621
Whisper-Large-V2	95.0	93.8	$\boldsymbol{95.1}$	93.8	91.7	92.3	<b>95.2</b>	93.9	1550M	1836
AdaKWS-Tiny	92.6	91.4	92.1	91.9	92.3	87.9	92.6	91.3	15 <b>M</b>	6
AdaKWS-Base	93.8	92.6	92.2	92.8	92.7	90.0	92.8	92.4	31 <b>M</b>	7
AdaKWS-Small	94.6	93.8	94.4	94.1	<b>94.2</b>	91.8	94.4	93.8	109 <b>M</b>	11



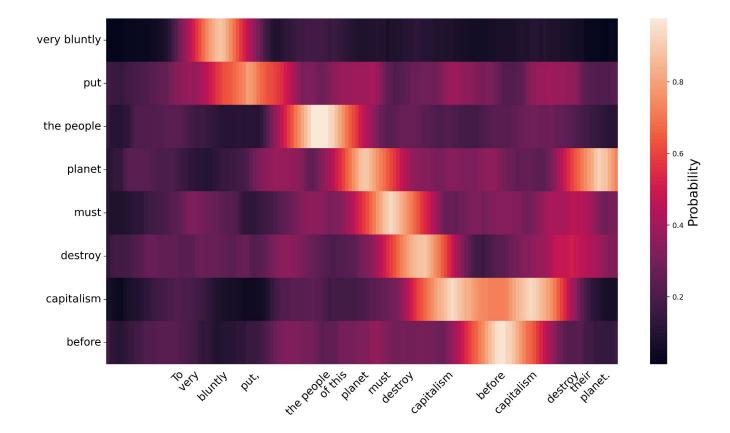
# **Generalization to Novel Languages - Fleurs**

Zero-shot performance for low resource, novel languages: F1 results for four languages from the Fleurs test dataset:

	Icelandic	Maltese	Swahili	Uzbek	Overall
Whisper-Tiny Whisper-Small Whisper-Large-V2	$42.3 \\ 52.0 \\ 69.2$	37.6 34.9 38.6	43.9 55.6 <b>74</b> .9	$34.2 \\ 35.4 \\ 36.6$	37.8 40.4 48.1
AdaKWS-Tiny AdaKWS-Base AdaKWS-Small	69.2 <b>71.5</b> 70.6	<b>76.7</b> <b>76.7</b> 76.0	$70.1 \\ 71.6 \\ 69.4$	<b>67.5</b> 65.7 66.1	<b>71.9</b> 71.6 70.9



# **Visualization of AdaKWS Prediction**





# Conclusion

- We present AdaKWS, a novel open-vocabulary KWS model.
- We present a new keyword-adaptive normalization layers.
- We propose several online negative sampling techniques and evaluate their effectiveness.
- We evaluate AdaKWS on a diverse set of multilingual benchmarks, as well as generalization to novel datasets and languages.



# Thank you for listening!

